



Department of Business License

VINCENT V. QUEANO

DIRECTOR

500 SOUTH GRAND CENTRAL PKY, 3RD FLOOR
BOX 551810

LAS VEGAS, NEVADA 89155-1810
<http://www.clarkcountynv.gov/businesslicense>

Instructions for Transfer of Ownership of a Cannabis Establishment

6.04.073 - Change of ownership requiring transfer of interest notification.

a) Except as otherwise provided in this code, nothing in this chapter shall be construed to require a new application for existing licensees who are changing the structure of their business ownership without changing one hundred percent of the licensed ownership, or for corporations or limited liability companies that are changing their business name. Whenever practicable, licensees shall notify the department prior to such change; but in no event shall licensees notify the department later than thirty working days after the effective date of such change.

In accordance with the Policy issued by the State of Nevada Cannabis Compliance Board, Transfers of Ownership are permitted for Cannabis Establishments, pending local jurisdiction approval. The following instructions are intended to aid the existing Owner or Operator and new Owner or Operator of the Cannabis Establishment in providing required information and documents to the Department at:

Clark County Department of Business License
500 S Grand Central Pkwy
BOX 551810
Las Vegas, NV 89155-1810

Once all public safety inspections have been performed and the applicable agencies have signed off that the applicant is in compliance with the Code and regulations, the Department will schedule a Code Conference with the applicant to review the requirements and provisions of the County Code that may affect the applicant and the operation of the Cannabis Establishment.

At the Code Conference, the applicant must make available for review by the Department the Business Plan for the Cannabis Establishment. The Business Plan will not be retained by the Department. If you have any questions, please submit them to: CHAP@ClarkCountyNV.gov.

NOTICE: No business may be conducted until a Clark County Business License has been approved and issued by the Department.

All forms are available for download at:

https://www.clarkcountynv.gov/business/doing_business_with_clark_county/divisions/cannabis_establishments.php

FEES

External Transfer of Ownership (to an individual or group of individuals outside the existing ownership):	\$1,500.00
Internal Transfer of Ownership (within existing ownership):	\$ 25.00

Cannabis Establishment Transfer of Ownership Business License Checklist

Please provide copies of all documents upon submission

APPLICATION PACKET

- ☐ **COMPLETE CLARK COUNTY APPLICATION**
Please list any business affiliations with other Cannabis Establishments
- ☐ **PROVIDE A LETTER OF AUTHORIZATION OR POWER OF ATTORNEY IF APPLYING ON BEHALF OF AN APPLICANT(S)**
- ☐ **PROVIDE COPY OF CURRENT STATE LICENSE REGISTERED WITH THE NEVADA SECRETARY OF STATE:**
If you are a corporation, limited liability company, limited partnership, or limited-liability partnership, you must file (register) with the Nevada Secretary of State.
Please visit the [Nevada Secretary of State's](http://Nevada Secretary of State's website) website for more information. You may apply online at nvsilverflume.gov, or apply in person at the Secretary of State located at 2250 N. Las Vegas Blvd, Suite 400 North Las Vegas, NV 89030. Telephone: (702) 486-2880
- ☐ **PROVIDE OPERATING AGREEMENT(S)**
- ☐ **STATE OF NEVADA CANNABIS COMPLIANCE BOARD**
Provide final approval letter issued by the [State of Nevada Cannabis Compliance Board](#)
- ☐ **PROVIDE DETAILED DESCRIPTION OF PROPOSED TRANSFER OF OWNERSHIP**
 - *Provide business license number, previous location address, new location address and business description.*
- ☐ **PROVIDE UPDATED DETAILED ORGANIZATIONAL FLOWCHART (Please provide a legible organizational chart)**
List officer(s) with percentages and titles
- ☐ **PROVIDE COPIES OF COMPLETED CLARK COUNTY OWNERSHIP DISCLOSURE FORMS**
Submission disclosing all individuals with ownership interests, listing percentages is required. Please start with license holder, use multiple Owner Disclosure sheets for each entity and list all officer(s)/entities with percentages to total 100%. Each entity should have a separate sheet. Note: The information should mirror the Organization Flowchart.
- ☐ **PROVIDE COPY OF PURCHASE AGREEMENT/TRANSFER OF INTEREST (applicable if purchased from another party)**
All applicants, as applicable, must obtain the Purchase agreement/Transfer of Interest agreement, confirmation of the sources of funds used to finance the purchase, expenses associated with the transfer of interest and the Annual/Quarterly/Significant Event filings of the Publicly Traded Company.
- ☐ **BACKGROUND INVESTIGATION**
Provide an FBI background check for any and all applicants for whom one has not already been conducted. State of Nevada Cannabis Agent card or temporary card may be used in lieu of an FBI background.

FINANCIAL PACKET

- ☐ **PRIVILEGED & REGULATED LICENSE FINANCIAL QUESTIONNAIRE PACKET (PRFQ)**
Complete the packet in black ink and initial each page
 - *Section 1 must be completed for the Cannabis Establishment related to this application*
 - *Section 2 must be completed for each individual owner, officer, or board member with an ownership percentage of 10% or higher, as well as other individuals as requested by the Department.*
 - *Section 3 is only required to be completed if a separate entity (non-individual) or business contributed capital for the business.*
- ☐ 1 Complete copy of owners last 3 years personal tax returns (**both personal and business taxes for each owner**)
- ☐ 1 Complete copy of Business last 3 months bank statements, all pages including blank pages (**both personal and business accounts for each owner**)

Note: If there is a change of license holder, please refer to *Instructions for Cannabis Business License Applications*.



CLARK COUNTY BUSINESS LICENSE APPLICATION

500 S Grand Central Pkwy, 3rd Floor, Las Vegas NV 89155-1810

(702) 455-4252 • Toll Free: (800) 328-4813 • Fax (702) 386-2168

<http://www.clarkcountynv.gov/businesslicense>

Please be advised that the information provided may be subject to public records disclosure and will appear on the Business License public website & Public Information reports.
Use **BLACK INK** only! Any incomplete, illegible or altered applications will not be accepted for processing.

A	BUSINESS INFORMATION		Fictitious Firm Name		Classification or Category		
	Business Name:		Doing Business As:		NAICS Code:		
B	BUSINESS OWNERSHIP must total 100%. List all business owners and/or officers (Attach additional pages as needed).						
	Type of Business Ownership (Please select one)		<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Co. <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Partnership				
	Name and Address of Business Owner(s), Officer(s)/Director(s), or Member(s)/Manager(s)		Name: Last, First, MI, or Corporation/LLC		Title		
			Address Line 1		Address Line 2		
			City	State	Zip	% Owned	
	Name and Address of Business Owner(s), Officer(s)/Director(s), or Member(s)/Manager(s) (Attach additional pages as needed)		Name: Last, First, MI, or Corporation/LLC		Title		
			Address Line 1		Address Line 2		
			City	State	Zip	% Owned	
	C	BUSINESS BASICS and CONTACT INFORMATION					
		Business Location		Location Address Line 1		Location Address Line 2	
City				State	Zip Code	Country	
Email Address				Business Phone No.		Business Fax No.	
Mailing Address (If same as location, please indicate "location")		Mailing Address Line 1		Mailing Address Line 2			
		City	State	Zip Code	Country		
		Authorized Contact Info		Authorized Contact Last Name		Authorized Contact First Name	
		Email address		Primary Phone		Cell Phone	
Business Location Information		<input type="checkbox"/> Owned (If owned proceed to "Describe all business activity" at the top of the next page) <input type="checkbox"/> Leased (If leased please provide the following information for our records)					
		Lessor Name (Last, First, MI or Company Name)			Lessor Phone		
		Lessor Address Line 1		Lessor Address Line 2			
		City	State	Zip Code	Country		

C	Describe all Business Activity:		
	Date of purchase of business:		
	Have you complied with the provisions of NRS 244.33505 Industrial Insurance? (Please check with your worker's compensation carrier for additional information)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Have you purchased a business currently operating in Clark County? Are you requesting a Temporary License?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No	
	IF YOU PURCHASED THIS BUSINESS AND IT IS CURRENTLY OPERATING, COMPLETE THIS SECTION		
	Date Business Purchased:	Clark County Business License No.:	Owners Name:
		Number of Employees:	Square Footage of Premises:
	Does this business require a Professional or Occupational License issued by a State Board? (For example: Cosmetology, Medical or Massage Board; Real Estate or NV Financial Division) If your answer is "Yes" please provide Name of Board:		<input type="checkbox"/> Yes <input type="checkbox"/> No
	D	BUSINESS QUESTIONS	
Have you registered with the Nevada Secretary of State?		<input type="checkbox"/> Yes <input type="checkbox"/> No	NV Business ID (required)
	I certify the information provided herein and attached is true and accurate to the best of my knowledge. I understand that providing false, misleading or fraudulent statements on this application or supporting documentation may be grounds for denial of this license or later revocation, suspension or non-renewal.		
	Signature:	Print Name:	Date:



**Department of Business License
CANNABIS ESTABLISHMENT
OWNERSHIP DISCLOSURE FORM**

Clark County Business License requires the disclosure of each individual owner of every business entity with an ownership interest in a Cannabis Establishment.

Clark County Business License Number (if applicable) _____

Legal Entity name of the license holder for cannabis establishment (as filed with the Nevada Secretary of State):

Nevada Secretary of State License Number: _____

Address of the Cannabis Establishment:

Type of Cannabis Establishment:

Fictitious Firm Name (DBA, if applicable, as filed with the Clark County Clerk's Office):

District: _____

Disclosure of Ownership of the Cannabis Establishment:

Business entities must list the names of all individual persons holding any ownership or financial interest for each business entity or having an ownership interest in a Cannabis Establishment.

"Business entities" include all business associations organized under or governed by Title 7 of the Nevada Revised Statutes, including but not limited to private corporations, close corporations, foreign corporations, limited liability companies, partnerships, limited partnerships, and professional corporations. Publicly traded corporations shall list all Corporate Officers and Board of Directors in lieu of disclosing the names of individuals with ownership or financial interest.

Please use the attached form to provide the requested information. **If a business entity is listed as an owner, please use a separate copy of the attached sheet to list all the individual owners of that business entity.** Continue using additional copies of the attached sheet for each business entity listed until each individual person is disclosed for every business entity.

I certify under penalty of perjury, that all of the information provided herein is current, complete and accurate. I also understand that the Board will not take any action on land-use approvals, contract approvals, land sales, leases or exchanges without the completed disclosure form.

Signature/Capacity

Print Name

Date

ADDING ENTITIES/OWNER(S)

Legal Entity Name:

Please start with license holder and use multiple Owner Disclosure sheets for each entity and list all officer(s)/entities with percentages to equal 100%. Each entity should have a separate sheet to correspond with the organizational chart. **If adding an entity or officer(s), mark "X".**

Address of Cannabis Establishment:

[illegible]

REMOVAL OF ENTITIES/OWNER(S) (If APPLICABLE)

Legal Entity Name:

Please start with license holder and use multiple Owner Disclosure sheets for each entity and list all officer(s)/entities with percentages to equal 100%. Each entity should have a separate sheet to correspond with the organizational chart. **If removing an entity or officer(s), mark "X".**

Address of Cannabis Establishment:[illegible]



CLARK COUNTY DEPARTMENT OF BUSINESS LICENSE

Privileged & Regulated License Financial Questionnaire

The purpose of this questionnaire is to guide you through the process of disclosing all financial history information required by law in titles 2 through 8 of the Clark County Code. Pursuant to Clark County Code, each applicant for a privileged business license and certain applicants for a regulated business license are required to disclose personal and business financial information. Applicable Clark County Codes include, but are not limited to, the following:

CCC 2.03.020, in part, *...The responsibilities of the department shall be to: ... Investigate or refer applicants for regulated and privileged licenses to the LVMPD or other investigating authority...*

CCC 8.20.010, in part, *It is found and declared that the public health, safety, morals and welfare of the inhabitants of the county ..., require the regulation and control of all persons engaged in, associated with, or in control of, the business of liquor sales...*

This financial questionnaire is divided into three sections. Instructions for completing the questionnaire are located at the beginning of each section. Each subsection includes further guidance as necessary to assist you in responding to the questions therein. The three main sections are as follows:

1. Business Information

- This section covers the business applying for licensure.

2. Individual Applicants (owners/investors)

- This section covers individual owners/investors that are engaged in, associated with or in control of the business applying for licensure. Each individual required to submit an application for determination of suitability must complete section two.

3. Parent/Holding/Outside Company (if applicable)

- This section covers separate entities or businesses (besides the business applying for licensure) that contributed capital for the business. This section is only required if a separate entity (non-individual) or business contributed capital for the business.

When completing this form:

- ✓ You must make accurate statements and include all material facts.
- ✓ Answers must be complete and truthful.
- ✓ Any misrepresentation, or the failure to provide requested information, may result in the delay or denial of your application.
- ✓ All answers must be typed or printed in block lettering. If your application is not legible, it will delay your application and may result in denial of your license.
- ✓ If the space available is not sufficient to respond to a question, you must supply the required information on an attachment page and clearly identify which question you are answering.

Section 1. Business Information *(The business applying for privileged or regulated licensure)*

A. Business – General

Provide the following: Organizational chart of related/affiliated businesses showing percentage and nature of ownership, Financial statements for the company for the past three years, Income tax returns for the past 3 years or if less than 3 years since inception.

Business Name				
DBA (if different)				
Street Address			Suite #	
City		State		Zip Code
Contact Person		Telephone #		
Indicate Type of Business Organization				
<input type="checkbox"/> Sole proprietorship <input type="checkbox"/> Privately Held Corporation <input type="checkbox"/> Publicly Traded Corporation <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Other				
State of company formation or incorporation			Date business was formed or incorporated	
Name and address of owner(s) of property where business will be conducted				

B. Business – Ownership

Provide information for all individuals or entities that have an ownership share in this business, including "silent" partners. The form must account for 100% of the capital invested in this business. If additional space is required, please use additional duplicate form. For entities with numerous minor investors, individual ownership interests of less than 3% may be grouped as one line item.

Provide stock certificate(s) or other legal proof of ownership for each entity or individual listed below.

	Name of Owner	Title	Ownership Percentage	Investment Amount
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
Total		 %	

C.1. Business – What were the sources of Start-Up funds for this business?

Indicate and provide information, including the applicable source bank account information, for all applicable sources of funds for the business. If additional space is required, use additional duplicate form.

☐ **Personal checking / savings accounts** (provide copies of bank statements that correspond with the dates of the withdrawals)

Name(s) on the Account	Account Number	Name of Financial Institution	Date of Withdrawal	Amount
Total:				

☐ **Cash flow from business operations** (provide copies of bank statements that correspond with the dates of the withdrawals)

Source of cash flow: ☐ This business ☐ Separate affiliated business ☐ Separate unaffiliated business

Name of business that generated the cash flow

Relationship of this business to applicant business

Name(s) on the Account	Account Number	Name of Business's Financial Institution	Date of Withdrawal	Amount
Total:				

☐ **Loan from lending institution** (provide copies of loan agreement and statements that correspond with the funding transactions)

Name of Lender		Type of Loan	
Account number		Date	Amount:

☐ **Loan from individual or business** (provide copies of loan agreement and bank statements that correspond with the dates of the withdrawals)

Lender name		Lender's relationship to borrower	
Name of Lender's Bank	Account Number	Account Holder(s)	Date of Withdrawal
			Amount

☐ **Gift** (provide copy of gift affidavit and bank statements that correspond with the dates of the withdrawals)

Gift contributor's name		Relationship to applicant	
Name of Gift Contributor's Financial Institution	Account Number	Account Holder(s)	Date of Withdrawal
			Amount

☐ **Liquidation of non-cash asset(s)**

Description		Sale date		Amount
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☐ **Reimbursed tenant improvements or construction allowance** (Must be included in lease)

Description		Amount	
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☐ **Other**

Description		Amount	
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Total Funds Available

C.2. Business – Where were the Start Up funds (from C.1.) deposited?

List and provide bank account information for significant deposits of Start Up capital into the business.

Name(s) on Business Account	Financial Institution	Account Number	
		Date of Deposit	Amount of Deposit
Name(s) on Second Business Account (if applicable)	Financial Institution	Account Number	
		Date of Deposit	Amount of Deposit
Total Start Up funds deposited into business account(s)			

C.3. Business – What were the Start Up funds (from C.2.) spent on?

List and provide the requested information and documentation for all material expenditures incurred to start the business.

Description of Expenditures	Date(s)	Amount of Expenditure
Business purchase		
Land		
Construction / Repair / Remodeling		
Property lease payments & deposits		
Leasehold improvements		
Fixtures & equipment		
Inventory & supplies		
Prepaid expense (<i>insurance, etc.</i>)		
Legal, accounting & consulting expenses		
Advertising expense		
Salary expense		
Interest expense		
Governmental fees & taxes		
Other expenses: (<i>specify</i>)		
Total expenditures		

D. Business - Officers and Key Personnel

Provide information regarding all key personnel involved in the business, including all Corporate Officers, Managing Partners, Managers in LLC, etc. Individuals having significant management authority or decision-making roles in the operation of the business must be included regardless of title. Include any individual having the authority to hire or fire employees, obtain credit or take out loans, or enter into contracts and/or sign agreements on behalf of the business.

	Name	Title	Address	Phone
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				

E. Business - Disclosure

Has this company's ownership interest, or any share of that interest, been assigned, pledged, or encumbered to any other person or business entity? *(If yes, furnish details on a separate page and provide copies of documents).*

☐ Yes

☐ No

Has this company entered into any agreement that could result in a transfer, pledging, or encumbrance of ownership interest in the future or does the company intend to enter into such an agreement in the future?

☐ Yes

☐ No

Has a tax lien ever been filed against this company by any government agency?

(If yes, provide details on a separate page. Provide any documentation regarding the lien. If the lien has been released, attach copy of the release).

☐ Yes

☐ No

Has this company ever filed for bankruptcy protection? *(If yes, furnish details on a separate page).*

☐ Yes

☐ No

Does the company own or control any assets or liabilities outside the United States?

(If yes, furnish details on a separate page).

☐ Yes

☐ No

Will the property owner participate in the business operations? *(If yes, please provide details on a separate sheet).*

☐ Yes

☐ No

Is the landlord or property owner of the business for whom the license is requested an affiliated entity?

(If yes, provide details of the affiliation).

☐ Yes

☐ No

Is this company contingently liable to any other party in a matter that is yet to be resolved? *(Example: the company has guaranteed another party's debt and would be responsible for payment in the event that party defaults. If yes, provide a complete description of the matter in which the company is contingently liable).*

☐ Yes

☐ No

Has the business entered into any revenue sharing, profit sharing or consulting agreements? *(If yes, then provide a copy of the agreements).*

☐ Yes

☐ No

If a "gift" (as per section 1.d) was used to fund the business in part or in full, is the gift donor entitled to share in the profits of the business? *(If yes, provide a complete description of the arrangement).*

☐ Yes

☐ No

☐ NA

Section 2. Applicant *(This section will guide you through the disclosure of your personal financial history. This section must be completed for each individual owner with an effective ownership percentage of 10% or higher and other individuals as requested by the Department. Make as many additional copies of Section 2 as necessary so that each required individual completes and submits this portion of the questionnaire. Each individual completing Section 2 of this form must provide three months of personal bank statements and the most recent three years of personal federal income tax returns. Additional bank statements or any other financial documents that evidence personal funds investment in the business must accompany this questionnaire).*

A. Applicant - General Information					
Last Name		First Name		Middle Name	
Title				Date of Birth	
Street Address					
City		State		Zip Code	
Investment in the Business		Percentage of Ownership			

B. Applicant - Disclosure					
1. Do you anticipate active participation in the management and operation of the business?					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
2. Have you entered into any agreement that could result in a transfer, pledging, or encumbrance of ownership interest or do you intend to enter into such an agreement in the future? If yes, furnish details on a separate page and provide copies of documents).					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
3. Has your Federal Income Tax return ever been audited or adjusted? (If yes, provide details on separate pages).					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
4. Do you own or control any assets or liabilities outside the United States? (If yes, furnish details on a separate page).					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
5. Do you hold any assets in a trust that were used, or will be used, in conjunction with the business? <i>(If yes, provide a copy of the trust agreement and a description of the assets in the trusts, if a description is not set forth in the trust agreements).</i>					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
6. Do you control, manage, or hold in trust any assets and/or liabilities for any other person or entity? <i>(If yes, furnish details on a separate page and provide a copy of the trust agreement and a description of the assets in the trust, if a description is not set forth in the trust agreement).</i>					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
7. When was last income tax return filed? <i>(Furnish Income tax returns for the past three years).</i>		for the year		At <i>(city/state)</i>	

C. Applicant - Annual Income & Expenses				
Description		Applicant	Spouse	Total
Income				
Salary				
Interest & Dividends				
Income from Rental Property				
Income from Business Investments				
Other (Describe):				
Total Annual Income				
Expenses				
Living Expenses				
Short Term Debt (five years or less)				
Long Term Debt (five plus years)				
Other (Describe):				
Total Annual Expenses				
Net Annual Income (income minus expenses)				

D. Applicant – Net Worth		
Complete the following worksheet for self and spouse combined. Each amount listed on the summary schedule below must be supported on supplemental schedules (A – F) below.		
Description	From Schedule	Current Value
Assets		
Cash on Hand		
Cash in Financial Institutions	A	
Notes Receivable		
Marketable Securities	B	
Business Investments	C	
Real Property	D	
Personal Property		
Other Assets (Describe):		
Total Assets		
Liabilities		
Notes Payable & Other Liabilities	E	
Mortgages Payable	F	
Taxes Payable		
Total Liabilities		
Net Worth (Assets minus Liabilities)		

E. Applicant – Asset & Liability Schedules**SCHEDULE A****Cash In Financial Institutions**

List below all accounts, foreign and domestic, maintained by the applicant.

Also, provide statements for the last three months for all accounts listed.

Name and Address of Financial Institution	Account Number	Type of Account (Checking, Savings, etc.)	Names of Persons Appearing on Account	Current Balance
Total				

SCHEDULE B**Marketable Securities (stocks, bonds, etc.)**

List the information requested for all marketable securities held by the applicant; Specify all forms of securities held or list the amounts held by individual brokerage houses and provide broker's statements for each brokerage indicated.

If more room is required, please attach a separate page.

Name of Security	Brokerage Name and Account Number	No. of Shares or Units	Purchase Price	Date of Purchase	Names of Persons Appearing on Account	Current Market Value
Total						

SCHEDULE C

Business Investments

List below any business investments in which the applicant has a direct or indirect interest, along with the names of individuals or other entities that share a direct or indirect interest in the investment. Specify the relationship of other entities or individuals sharing an ownership interest and specify ownership percentages.

Entity Name	Type of Entity	Number of Shares or Units Held	Percent of Ownership	Purchase Price	Date of Purchase	Name in Which Held	Individuals or Entities sharing ownership and percentage of ownership	Current Market Value
Total								

SCHEDULE D

Real Property

List below all real property in which any direct or indirect interest is held by the applicant, along with the names of all individuals or entities who share a direct or indirect interest.

Type & Size	Date of Purchase	Purchase Price	Amount of Down Payment	If Shared Ownership, Percent of Ownership	Other Owners & Relationship to the applicant	Current Market Value
Total						

SCHEDULE E**Notes Payable & Other Liabilities**

Name and Address of Creditor	Date Incurred	Original Amount	Payment / Period	Interest Rate	Maturity Date	Purpose	Description of Collateral	Unpaid Principal Balance
Total								

SCHEDULE F**Mortgages Payable**

Name and Address of Creditor	Date Incurred	Original Amount	Payment / Period	Interest Rate	Maturity Date	Description & Address of Property	Unpaid Principal Balance
Total							

Section 3. Parent/Holding/Outside Company *(This section will guide you through the disclosure of financing received from any business entity from within, or outside, the ownership structure of the applicant business. For example, if the applicant business is owned by a Parent Company that provided financing to the operating entity level, this section must be completed for the Parent company. Publicly traded companies may submit a copy of the most recent SEC filing in lieu of the following).*

A. Parent Company – Required Documentation

Name of Entity	
1.	File-stamped copies of Articles of Incorporation or Articles of Organization and any applicable amendments.
2.	Operating or partnership agreement.
3.	Current list of officers and managers and their addresses.
4.	List of all owners or members and their respective ownership share percentages and investment amounts.
5.	Income tax returns for the past three years or since inception.
6.	Organizational chart of related/affiliated businesses, showing percentage and nature of ownership.
7.	Copy of filings with the Nevada Secretary of State.

B. Parent Company - Balance Sheet

Financial statements may be substituted.

Description	Schedule	Current Value
Assets		
Checking Accounts	A	
Accounts Receivable		
Notes Receivable		
Marketable Securities	B	
Business Investments	C	
Real Property	D	
Fixed Assets		
Other Assets <i>(Describe)</i>		
Other Assets <i>(Describe)</i>		
Total Assets		
Liabilities		
Accounts Payable		
Other Short Term Debt		
Notes Payable	E	
Taxes Payable		
Other Liabilities <i>(Describe)</i>		
Total Liabilities		
Owner's Equity (Assets minus Liabilities)		

C. Parent Company – Asset & Liability Schedules

Financial statements are only acceptable in lieu of these schedules if same information is included therein.

SCHEDULE A**Cash In Financial Institutions**

List below all accounts, foreign and domestic, maintained by the parent company.

Also, provide statements for the last three months for all accounts listed and copies of latest bank reconciliation for each account.

Name and Address of Financial Institution	Account Number	Type of Account (Checking, Savings, etc.)	Names of Persons Appearing on Account	Current Balance
Total				

SCHEDULE B**Marketable Securities**

List the information requested for all marketable securities held by the parent company; Specify all forms of securities held or list the amounts held by individual brokerage houses and provide broker's statements for each brokerage indicated.

If more room is required, please attach a separate page.

Name of Security	Brokerage Name and Account Number	No. of Shares or Units	Purchase Price	Date of Purchase	Names of Persons Appearing on Account	Current Market Value
Total						

SCHEDULE C**Business Investments**

List below any business investments in which the parent company has a direct or indirect interest, along with the names of individuals or other entities that share a direct or indirect interest in the investment. Specify the relationship of other entities or individuals sharing an ownership interest and specify ownership percentages.

Entity Name	Type of Entity	Number of Shares or Units Held	Percent of Ownership	Purchase Price	Date of Purchase	Name in Which Held	Individuals or Entities sharing ownership and percentage of ownership	Current Market Value
Total								

SCHEDULE D**Real Property**

List below all real property in which any direct or indirect interest is held by the parent company, along with the names of all individuals or entities who share a direct or indirect interest therein.

Type & Size	Date of Purchase	Purchase Price	Amount of Down Payment	If Shared Ownership, Percent of Ownership	Other Owners & Relationship to you	Current Market Value
Total						

SCHEDULE E

Notes Payable & Other Liabilities

List all notes payable and other liabilities for the parent company. Indicate with an asterisk (*) all liabilities that are payable to related parties and describe relationship with that party in column 1.

Name and Address of Creditor	Date Incurred	Original Amount	Payment / Period	Interest Rate	Maturity Date	Purpose	Description of Collateral	Unpaid Principal Balance
Total								